

APPENDIX C-1
Refraction Seismic Equipment and Procedures

REFRACTION SEISMIC EQUIPMENT AND PROCEDURES

Refraction seismic surveys are performed in general conformance with the guidelines presented in ASTM D5777-95 Standard Guide for Using the Seismic Refraction Method for Subsurface Investigation for refraction surveys using compression waves (p-waves). ASTM D5777 does not address shear wave (s-wave) surveys; standard practice is followed for refraction surveys using s-waves. In some investigations, such as seeking and tracing earth fissures or other significant discontinuities (Rucker and Keaton, 1998), non-standard procedures and analyses, such as signal amplitude analysis, are used as part of the investigation process.

Seismic Equipment - Refraction seismic surveys are performed using a Geometrics ES-1225 or Smartseis S-12 signal enhancement seismograph. These instruments have the capability to simultaneously record 12 channels of geophone data and produce hard copies of that data. The Smartseis also has the capability of digitally storing geophone data. Signal enhancement capability permits the use of a sledgehammer as the seismic energy source. A timing sensor is attached to the hammer, and for p-waves, a metal plate is set securely on the ground surface and struck. Generating horizontally polarized s-waves typically involves setting the plate against the end of a wooden plank or railroad tie oriented perpendicular to the axis of the geophone array and striking with a horizontal motion of the sledgehammer. A truck is usually driven onto the plank or tie to effectively couple the plank or tie to the ground.

Because of the signal enhancement capability, signals from several or many strikes can be added together to increase the total signal available relative to noise to obtain the seismic record. Although explosives can also be used as a p-wave seismic energy source, a sledgehammer does not require licenses or permits, or involve special limitations, regulations and liabilities. Explosive energy sources may be needed for long geophone arrays. Geophone cables with 12 geophone takeouts at 10-foot, 25-foot or 20-meter spacings are presently used. Vertical geophones are used to obtain p-wave data and horizontal geophones are used to obtain s-wave data. The seismograph system is extremely portable. In areas where vehicular access is not possible, the equipment can be mobilized by various means, including backpacking, packhorse, helicopter and canoe.

Field Procedures - The field operations are directed by our experienced engineer or geologist, who operates the equipment, prepares the records and examines the data in the field. Refraction seismic lines are generally laid out using the standard spacings on the geophone cables. A maximum depth of investigation of about 75 to 100 feet may be possible using a 300-foot array. For shorter lines with improved near-surface resolution, 10-foot spacings between geophones with a 120-foot array have a maximum depth of investigation of about 30 to 40 feet. Other geophone spacings can also be used. To improve the resolution of near-surface interfaces, energy source positions generally are set at 12.5 feet from the ends of a 25-foot spacing geophone array or at 5 feet from the ends of a 10-foot geophone spacing array. Several shots locations are utilized along the length of an array. When three shots are obtained, there is a foreshot and a backshot at the array ends and a midshot at the array center. The midshot is usually placed midway between the two centermost geophones. When five shots are obtained, the additional shotpoints are located midway between the foreshot-midshot and the midshot-backshot. These multiple shot points permit interpretation of near-surface interfaces at various locations along the array as well as near the endpoints for variable subsurface profiles, and permits more refined overall interpretations of shallow and mid-depth subsurface velocities and interfaces. In cases when both enhanced depth of investigation and improved shallow resolution are needed, multiple 12-geophone arrays are completed end to end and combined into longer composite 24- or more geophone arrays with greater depths of investigation. Additional energy shotpoints are then, at a minimum, performed at the midpoint and far endpoint of each adjacent 12-geophone array to provide seismic energy travel path coverage over the extended array.

REFRACTION SEISMIC EQUIPMENT AND PROCEDURES (Cont.)

P-wave data are recorded for general exploration work. S-wave data are also recorded when dynamic subsurface material properties are desired. An s-wave arrival is verified by obtained two sets of horizontal data that are 180 degrees out of phase. The phase reversal is obtained by either reversing the horizontal geophone orientation or reversing the hammer impact direction. Hard copy printouts of all field data are made and inspected as the information is collected. Field notes, including line number and orientation, topographic variations and other notes as appropriate are made on the hard copy printout. Locations and other notes are made on site maps and in notebooks as appropriate. Initial first arrival picks are made in the field and array endpoint arrival times are checked for immediate data adequacy verification as part of the quality control process.

Interpretation - Although preliminary or quality control initial refraction seismic data interpretations may sometimes be performed in the field, full interpretations are completed in the office. At the present time, two interpretation methods are being used; the intercept time method (ITM) and an optimization software routine based on finite difference optimization software. ITM breaks an interpretation into several distinct layers. It is simple, can be performed with a calculator, and can provide excellent interpretations of near surface layer depths and velocities. Optimization provides a continuously variable velocity interpretation through a discrete grid. Interpretations using optimization also indicate zones where interpretation has occurred, thus providing quality control on the depths to which the interpretation can be relied upon. However, the discrete grid used by optimization results in a low resolution near surface interpretation. The combination of both ITM and, when appropriate, optimization methods provides two separate interpretations with complimentary strengths and cross-checking capability. These interpretation methods are applied as appropriate to a particular project.

Refraction seismic data interpretation using the intercept time method is detailed by Mooney (1973). A personal computer spreadsheet is used to perform the necessary calculations to obtain depths and layer velocities, and print out time-distance plots and depth interpretations. This method is used for interpretations of up to three layers. It is considered that more than three layers cannot be effectively interpreted using twelve geophone data points. Interpretations are then completed manually to produce a final interpreted geologic profile and layer depths.

Refraction seismic data interpretation using optimization is performed using the SeisOpt2D software package by Optim, L.L.C., 1999, of Reno, Nevada. Energy source and geophone receiver locations and elevations, and first arrival times are entered into the software package, and first arrival travel times are optimized through a process of repeated (typically 10,000 to 100,000) iterations. Multiple seismic lines combined end to end into a longer composite line can be effectively interpreted using this software. Model grid dimensions and element sizes are selected, with larger grids containing smaller elements providing greater potential resolution. However, very large grids containing small elements may become unstable, and several runs may need to be made to obtain stable, robust interpretations. Once a robust interpretation has been obtained, the resulting seismic velocity profile is printed out with varying colors indicating the interpreted velocities.

References:

Mooney, H.M., 1973, Engineering Seismology Using Refraction Methods, Bison Instruments, Inc., Minneapolis, Minnesota.

Rucker, M.L. and Keaton, J.R., 1998, Tracing an Earth Fissure Using Seismic-Refraction Methods with Physical Verification, in Land Subsidence Case Studies and Current Research: Proceedings of the Dr. Joseph F. Poland Symposium on Land Subsidence, Edited by Borchers, J.W., Special Publication No. 8, Association of Engineering Geologists, Star Publishing Company, Belmont, California, p. 207-216.

APPENDIX C-2
Refraction Microtremor (ReMi)
Shear Wave Equipment and Procedures

REFRACTION MICROTREMOR (ReMi) SHEAR WAVE EQUIPMENT AND PROCEDURES

Refraction microtremor or ReMi surveys are performed in general accordance with the method described by Louie (2001) to develop vertical one-dimensional shear wave (s-wave) velocity profiles. The same equipment used for ReMi is also used for refraction seismic. When appropriate, both p-wave and s-wave data can be collected with the same physical seismic line setup.

ReMi Seismic Equipment - ReMi surveys are performed using a Geometrics S-12 Smartseis signal enhancement seismograph. This instrument has the capability to digitally record and store up to 12 channels of geophone data in SEG2 format. Up to 16,384 samples can be acquired for each geophone channel at sample intervals as long as 0.25, 0.5, 1 and 2 milliseconds. Sampling events to collect ReMi field data may typically last 6, 12 or 24 seconds. Geophone cables with 12 geophone takeouts at 10-foot or 20-meter spacings are presently used. Vertical geophones with resonant frequencies of 28 Hz and 4.5 Hz are used to obtain surface wave data for s-wave vertical profile analysis. High frequency geophones are used for shorter arrays with shallower depths of investigation, and low frequency geophones are used for longer arrays with greater depths of investigation. Broad band ambient site noise may be used as a surface wave energy source. Controlled surface wave energy sources include jogging alongside shorter geophone arrays and driving a field vehicle alongside longer geophone arrays. The seismograph system is extremely portable. In areas where vehicular access is not possible, the equipment can be mobilized by various means, including backpacking, packhorse, helicopter and canoe.

ReMi Field Procedures - The field operations are directed by our experienced engineer or geologist, who operates the equipment, prepares the records and examines the data in the field. ReMi seismic lines are generally laid out using the standard spacings on the geophone cables. A depth of investigation of about 100 meters or more may be possible using a 240 meter array. For shorter lines with improved near-surface resolution, 10-foot spacings between geophones with a 120-foot array have a depth of investigation of about 30 to 40 feet or more. Other geophone spacings can also be used.

Data collection consists of the system sampling the ambient or generated surface waves (a sampling event) at the geophone array for several to many seconds. Typical sampling times and intervals for a sampling event may be 6 seconds at 0.5 milliseconds, 12 seconds at 1 millisecond and 24 seconds at 2 milliseconds for array lengths of 60 feet, 120 feet and 240 meters, respectively. Several sampling events are collected at each ReMi setup. For shorter arrays where ReMi with surface wave energy generated by jogging is conducted in concert with seismic refraction data collection, four sampling events may typically be recorded. For longer arrays where urban ambient noise or a field vehicle generates the surface wave energy, six to ten sampling events may be recorded. Field notes, including line number and orientation, topographic variations and other notes as appropriate are made on hard copy of traces. Locations and other notes are made on site maps and in notebooks as appropriate. Sample data files may be transferred by 3.5-inch floppy to the laptop computer and preliminary interpretations made for immediate data adequacy verification as part of the quality control process.

Interpretation - Although preliminary or quality control initial ReMi seismic data interpretations may sometimes be performed in the field, full interpretations are completed in the office. Data files, typically about 580kb each in size, are transferred from the seismograph to the laptop computer using 3.5-inch floppy disks. Interpretation is performed using the SeisOpt ReMi Version 3.0 (2004) software package by Optim, L.L.C., of Reno, Nevada. The software consists of two modules. The ReMiVsSpect module is used to convert the SEG2 files into a spectral energy shear wave frequency versus shear wave velocity presentation for a ReMi seismic setup. The interpreter then selects a dispersion curve consisting of the lower bound of the spectral energy shear wave velocity versus frequency trend, and that dispersion curve is saved to disk. Tracing the lower bound (slowest) of the shear wave velocity at each frequency selects the ambient energy propagating parallel to the geophone array, since energy propagating incident to the array will appear to have a faster propagating velocity. The second module, ReMiDisper, is then invoked. The interpreter models a dispersion curve with multiple layers and s-wave velocities to match the selected dispersion curve from the field data. An interpreted vertical s-wave profile is obtained through this process. It must be understood that this type of interpretation may not result in a unique solution.

Louie, J.L., 2001, Faster, Better: Shear-wave velocity to 100 meters depth from refraction microtremor arrays, *Bulletin of the Seismological Society of America*, Vol. 91, 347-364.

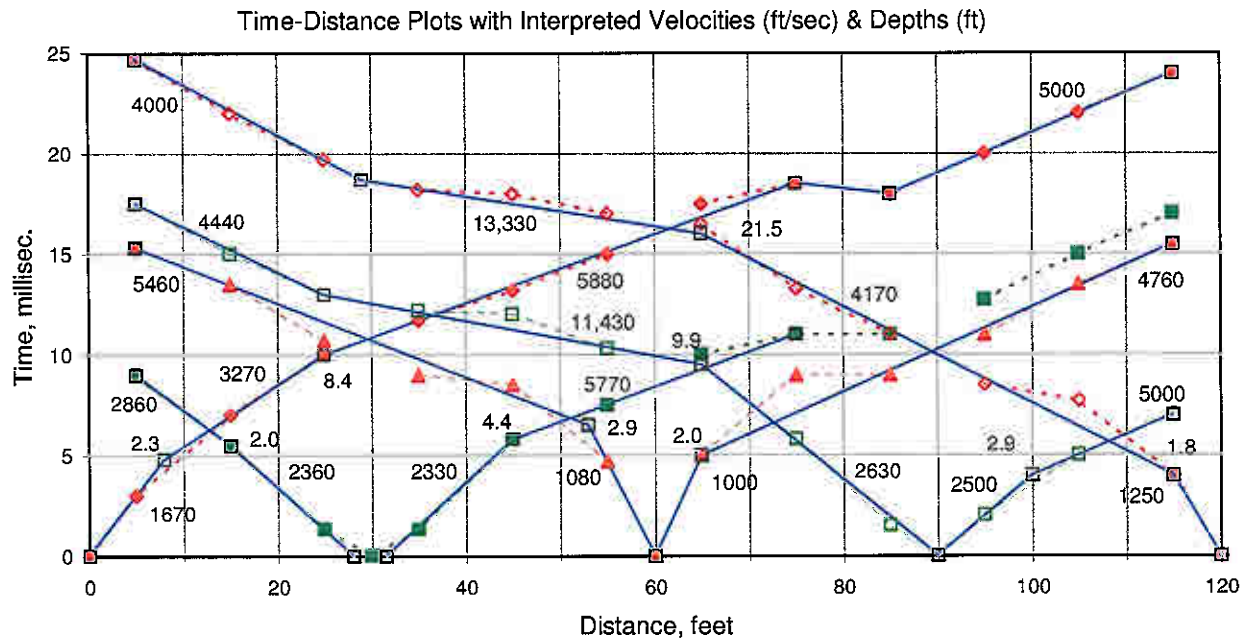
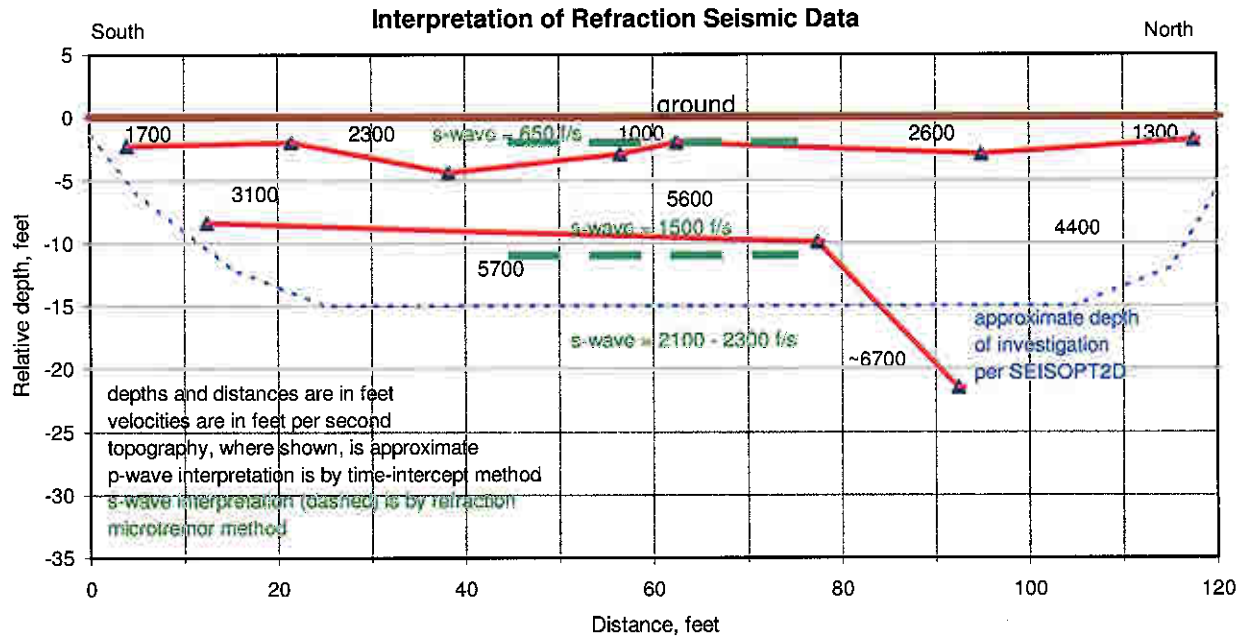
APPENDIX C-3

**Seismic Refraction Results for the
Main Mine Shaft (Lines 6 through 10)**

Refraction Seismic Interpretation

Line 6

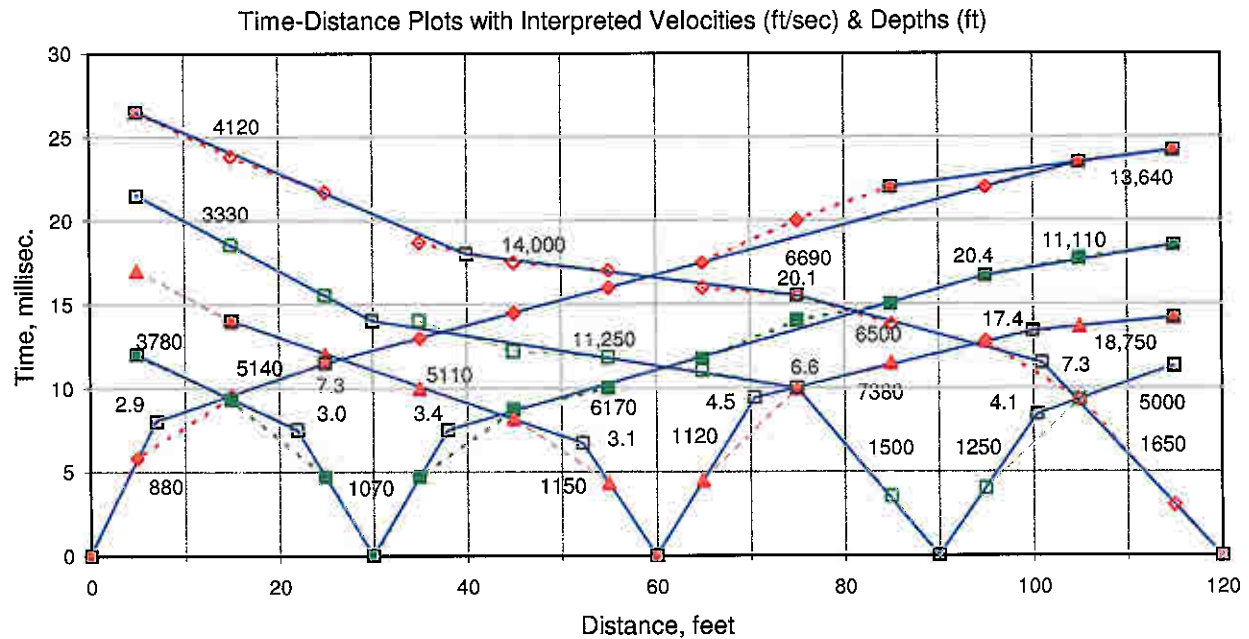
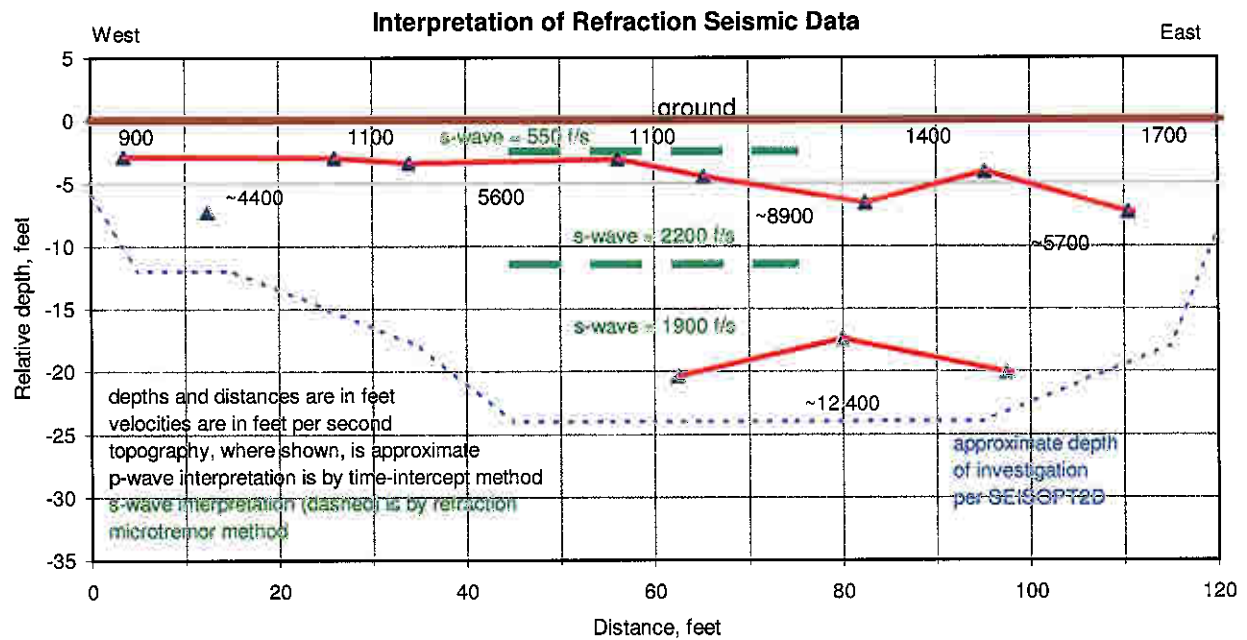
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Refraction Seismic Interpretation

Line 7

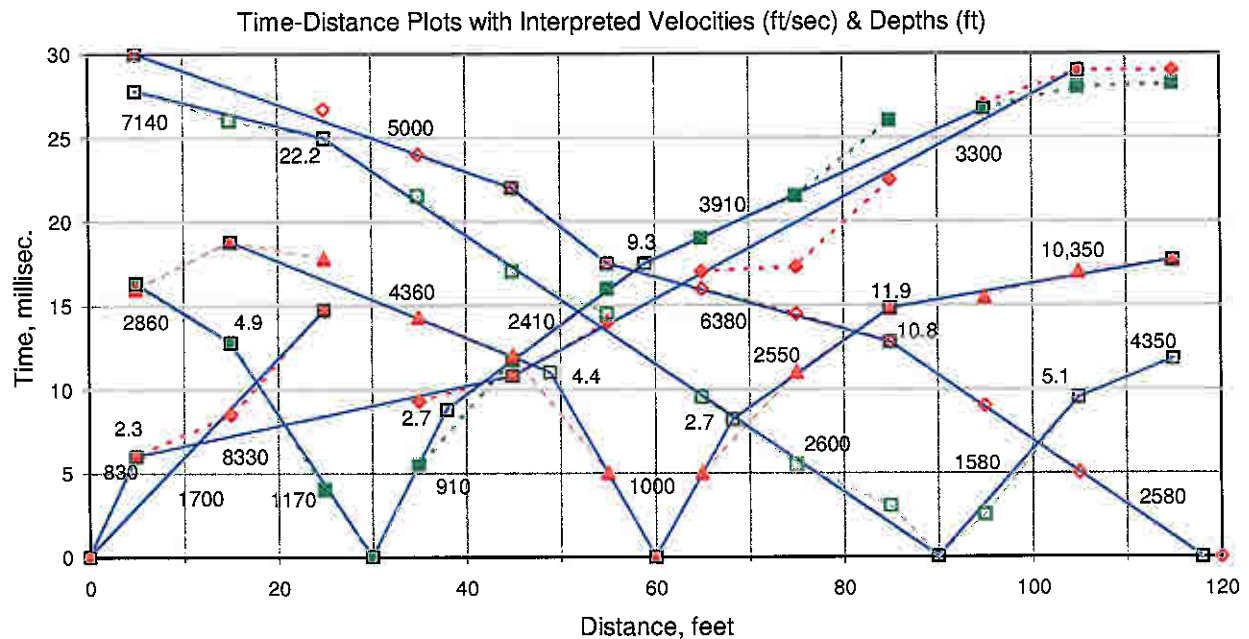
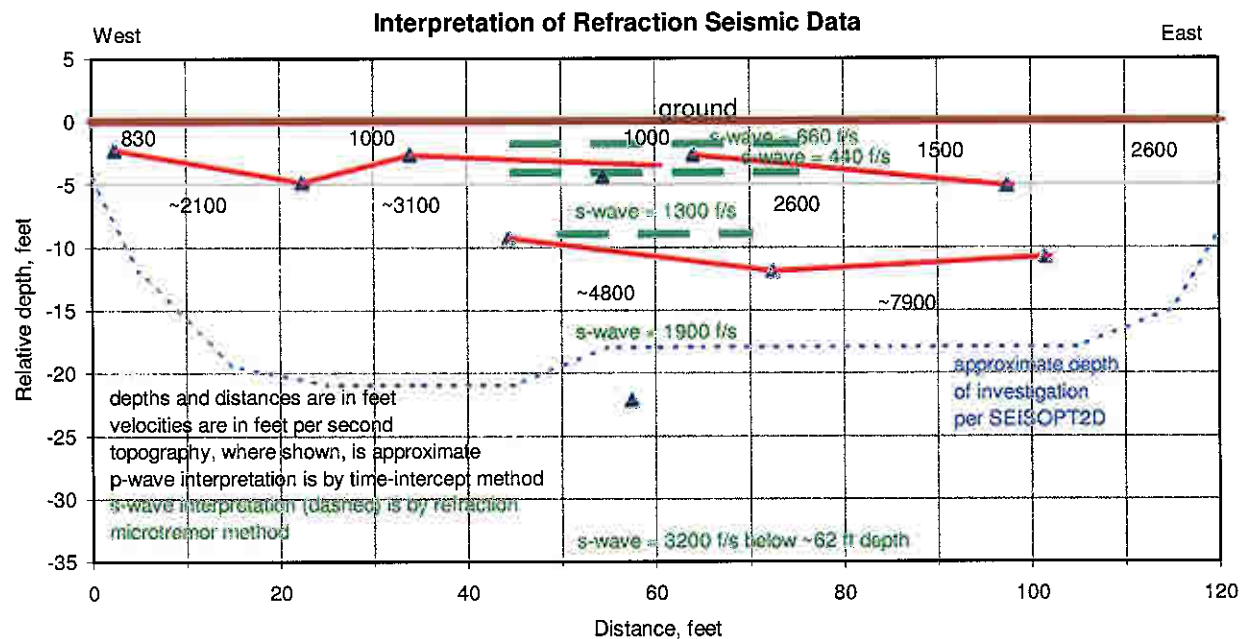
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Refraction Seismic Interpretation

Line 8

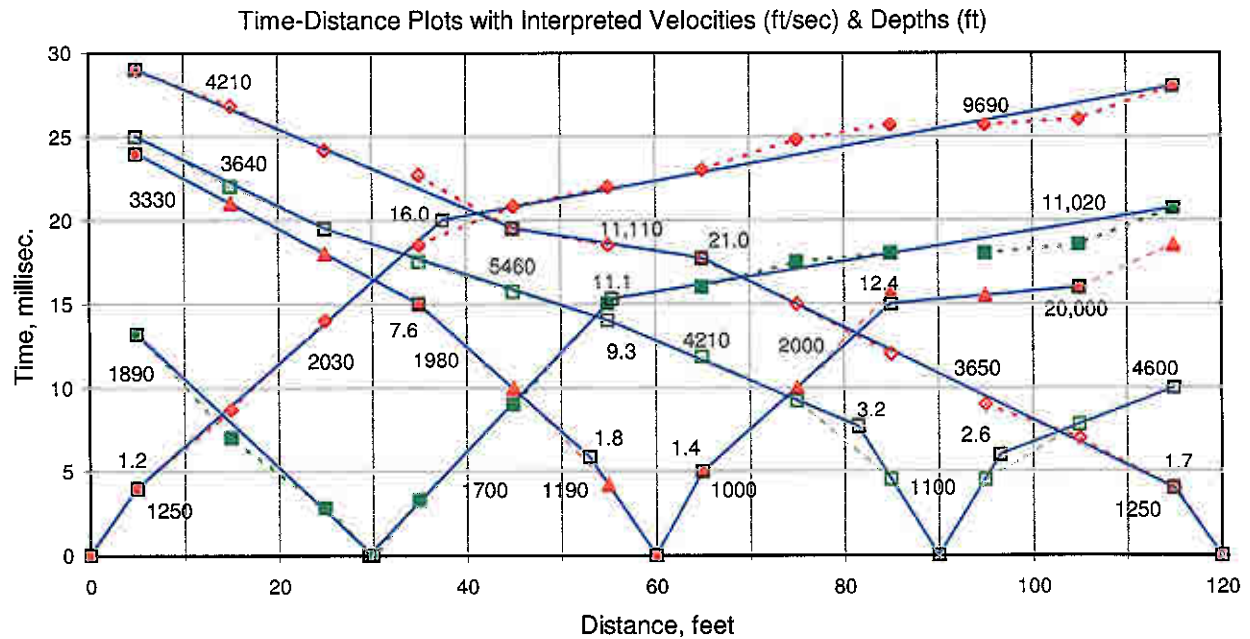
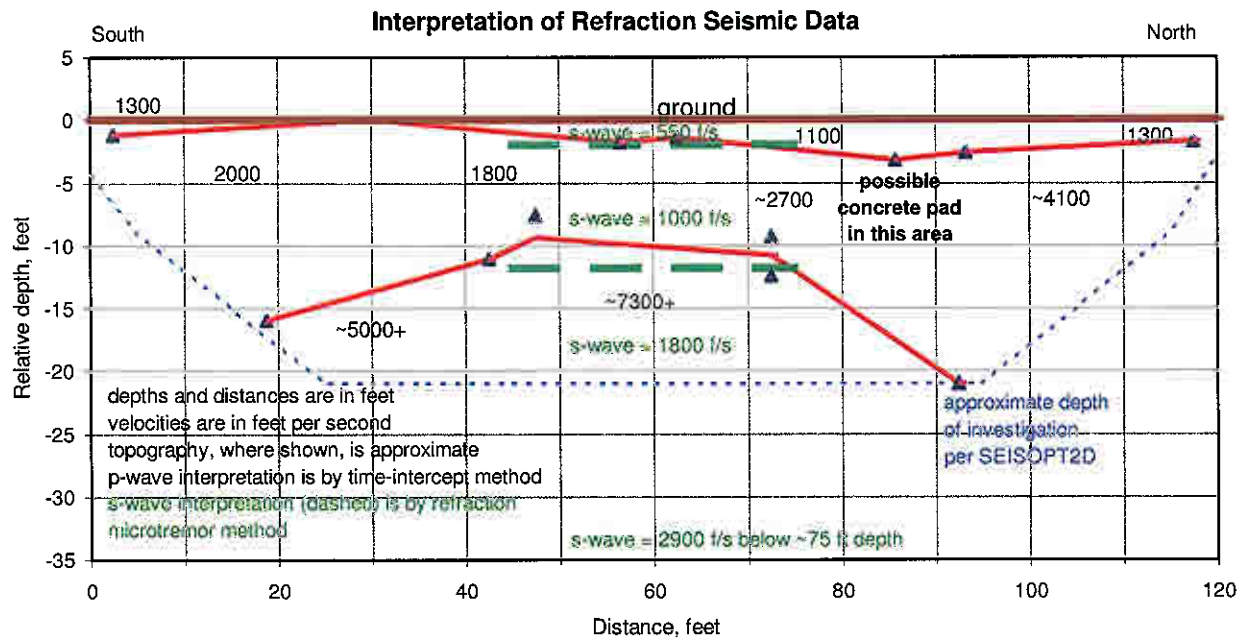
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Refraction Seismic Interpretation

Line 9

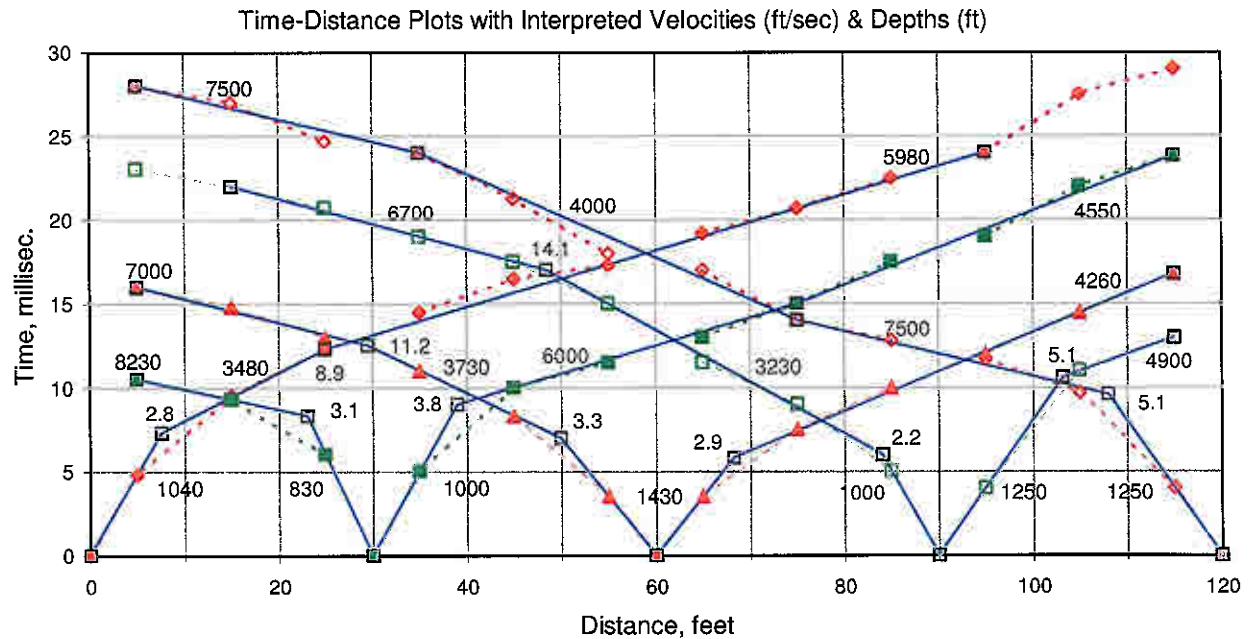
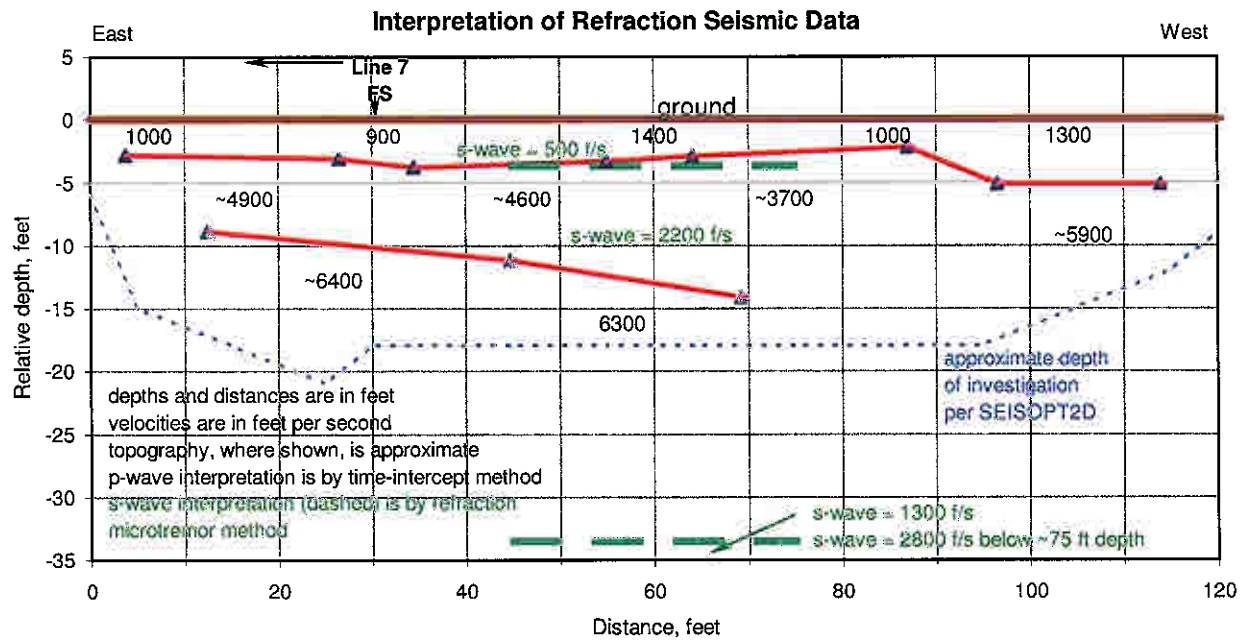
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Refraction Seismic Interpretation

Line 10

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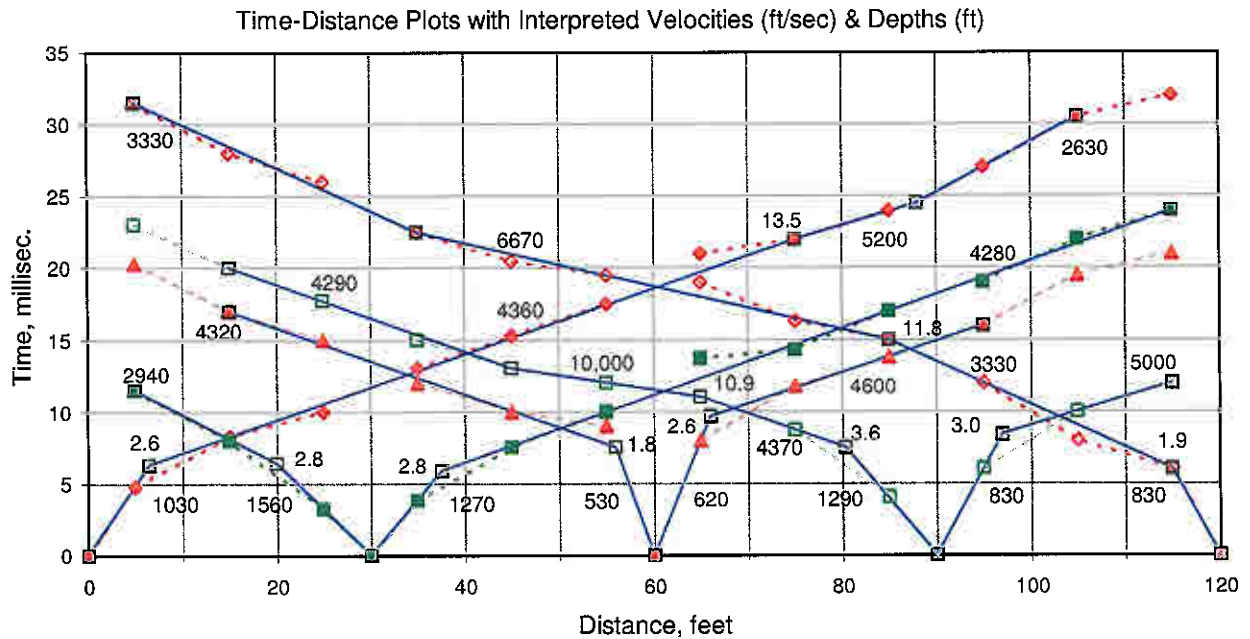
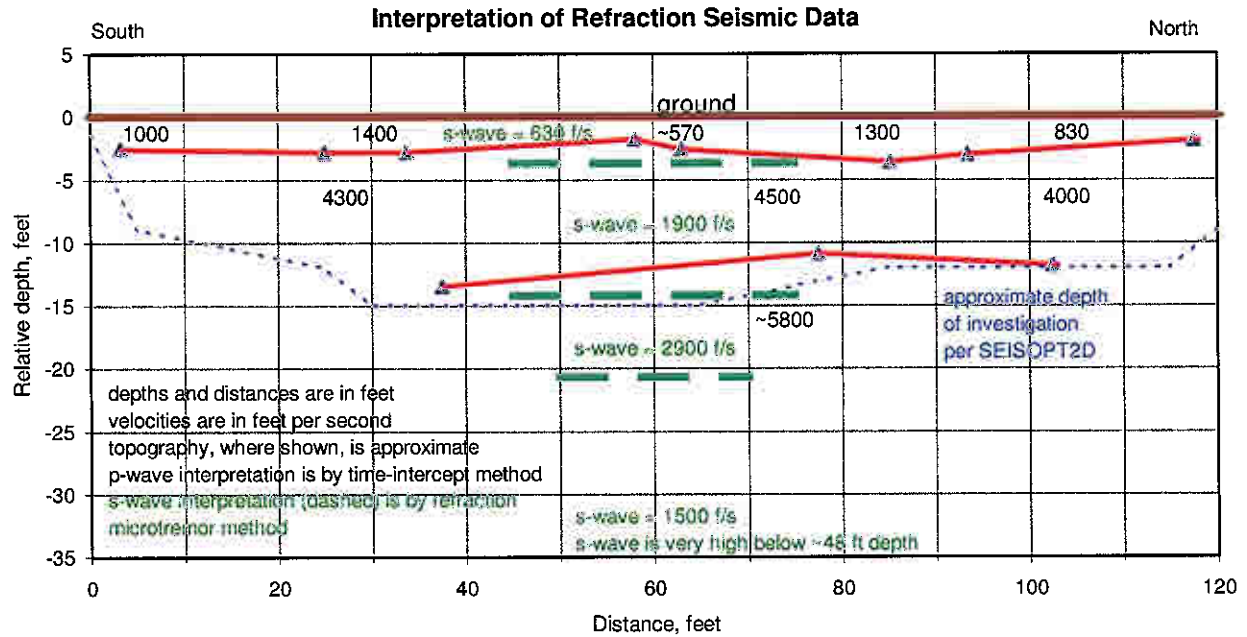


APPENDIX C-4
Seismic Refraction Results for the
Secondary Decline Mine Shaft
(Lines 1 through 5)

Refraction Seismic Interpretation

Line 1

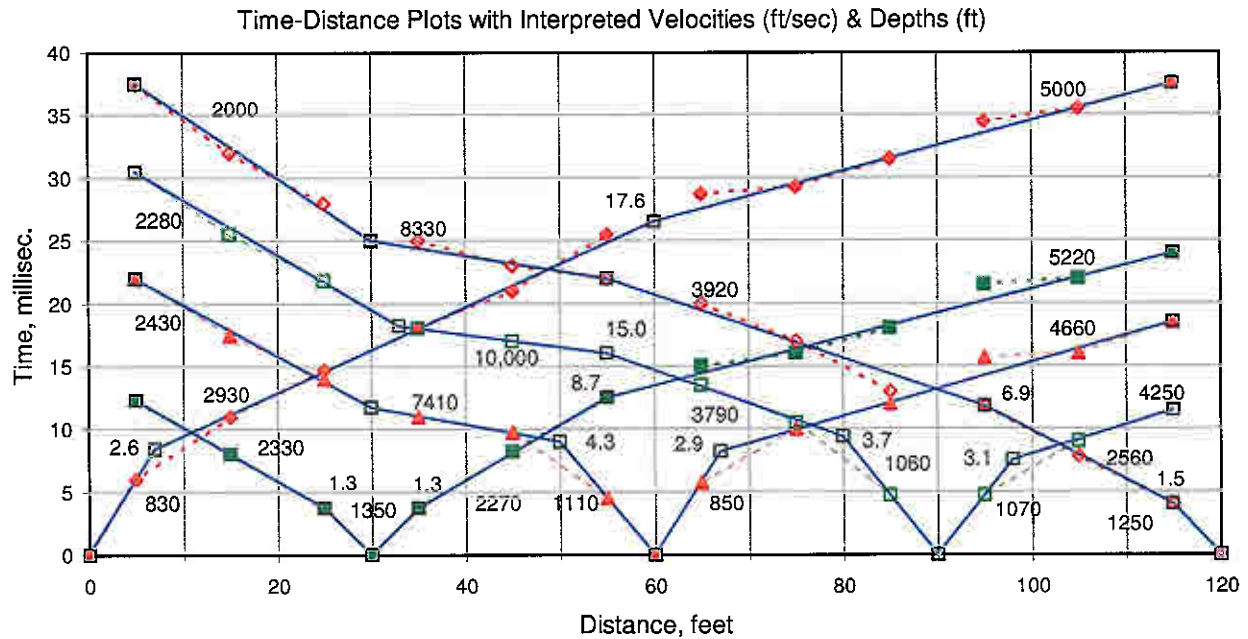
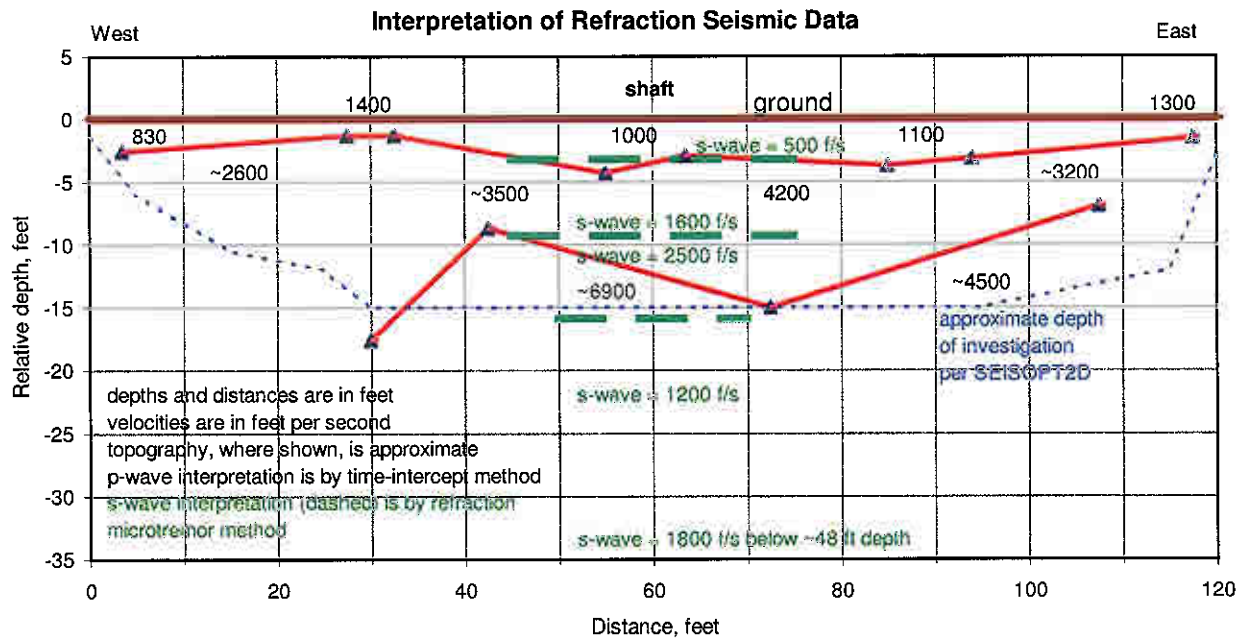
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Refraction Seismic Interpretation

Line 2

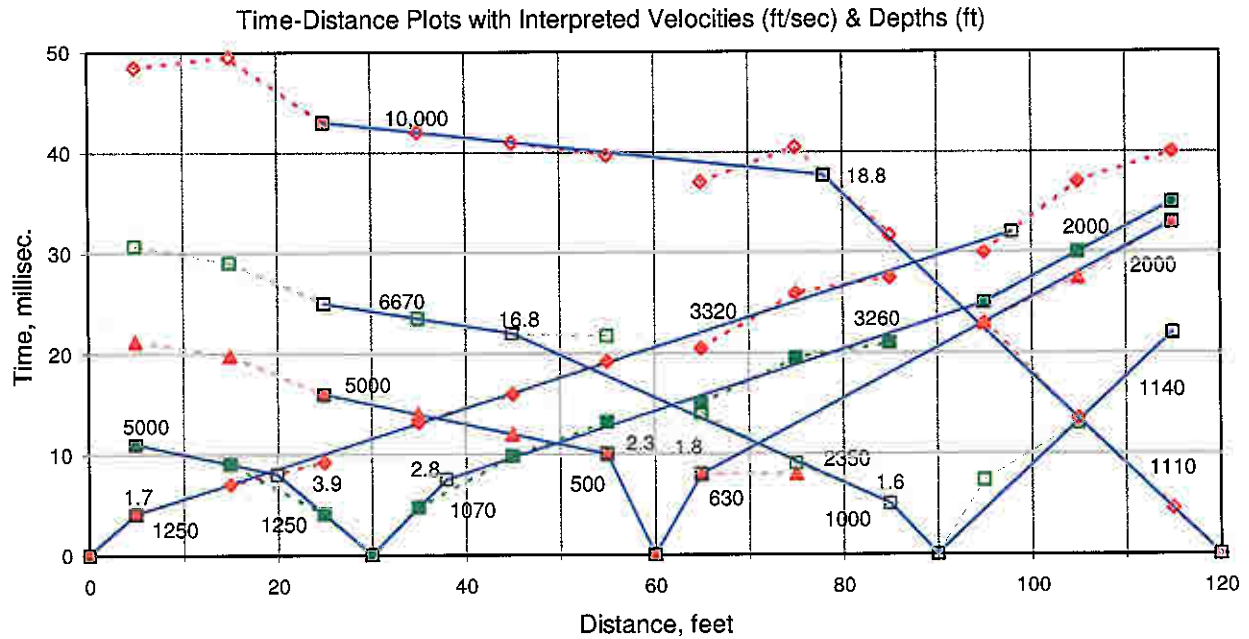
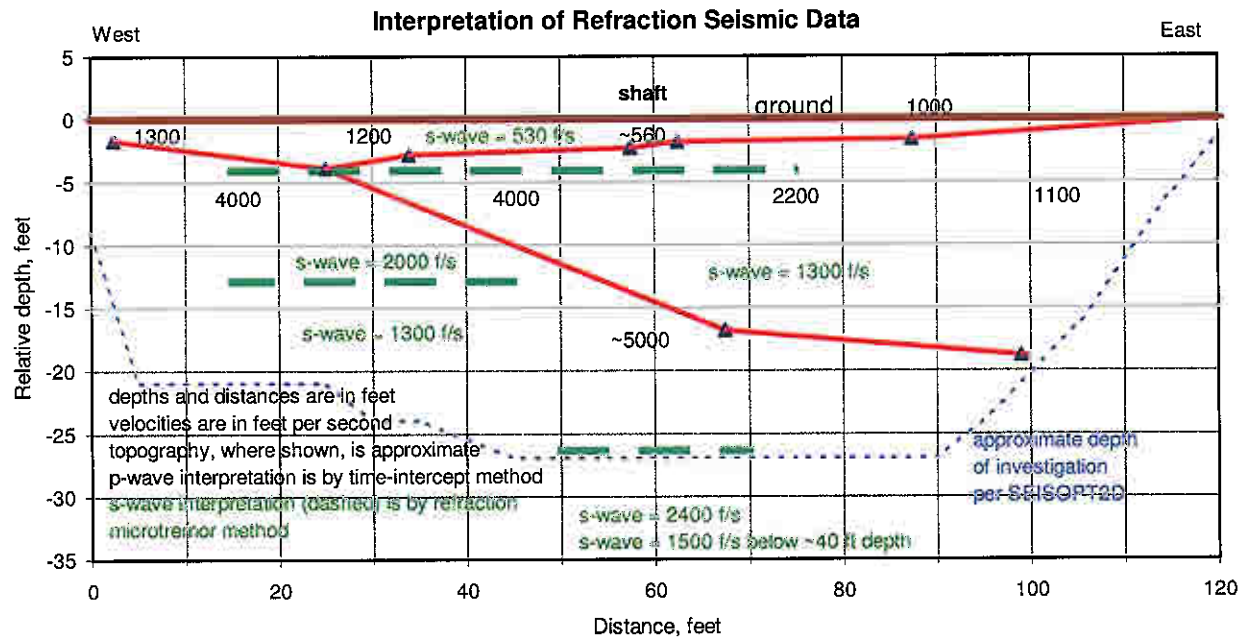
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Refraction Seismic Interpretation

Line 3

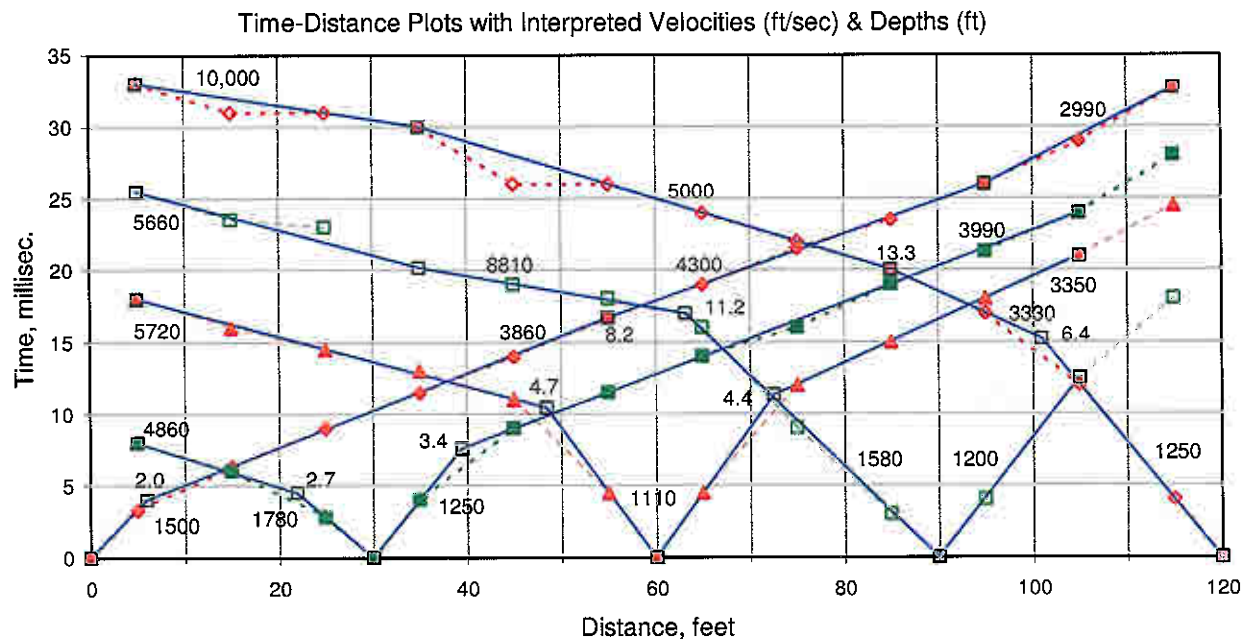
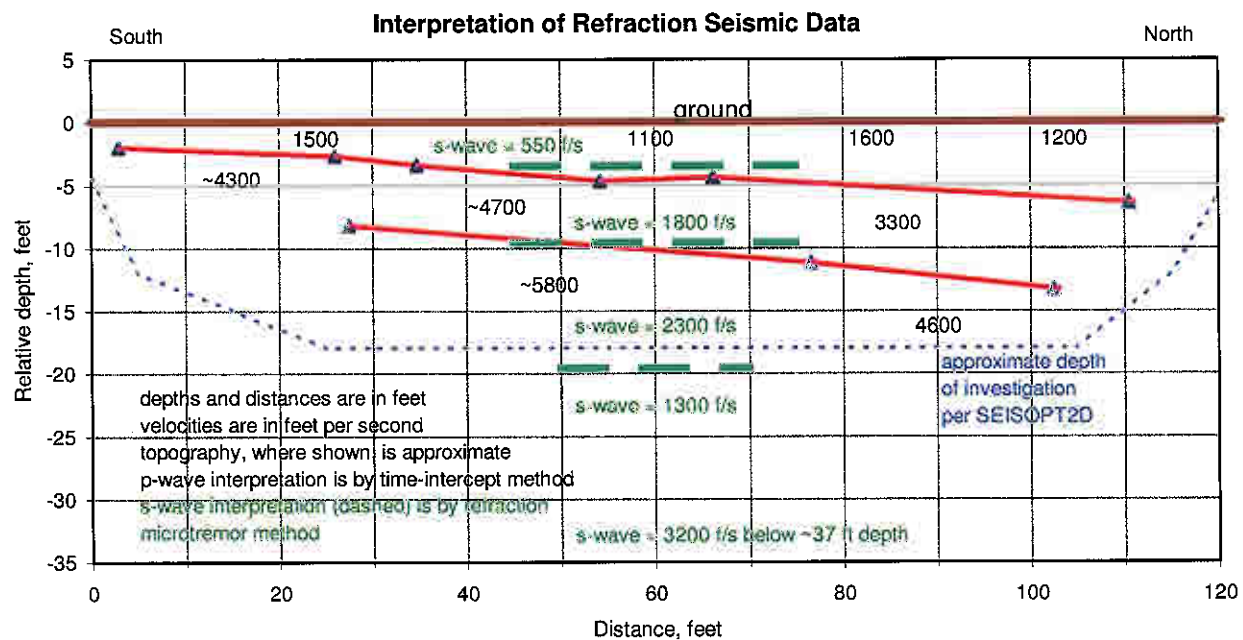
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Refraction Seismic Interpretation

Line 4

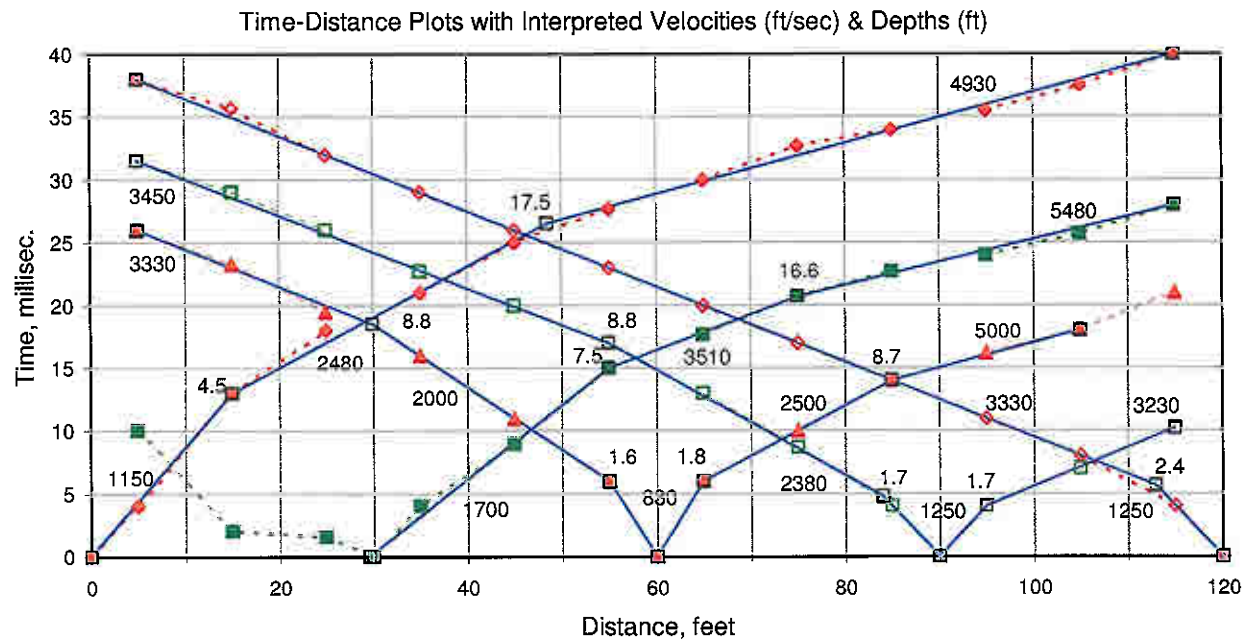
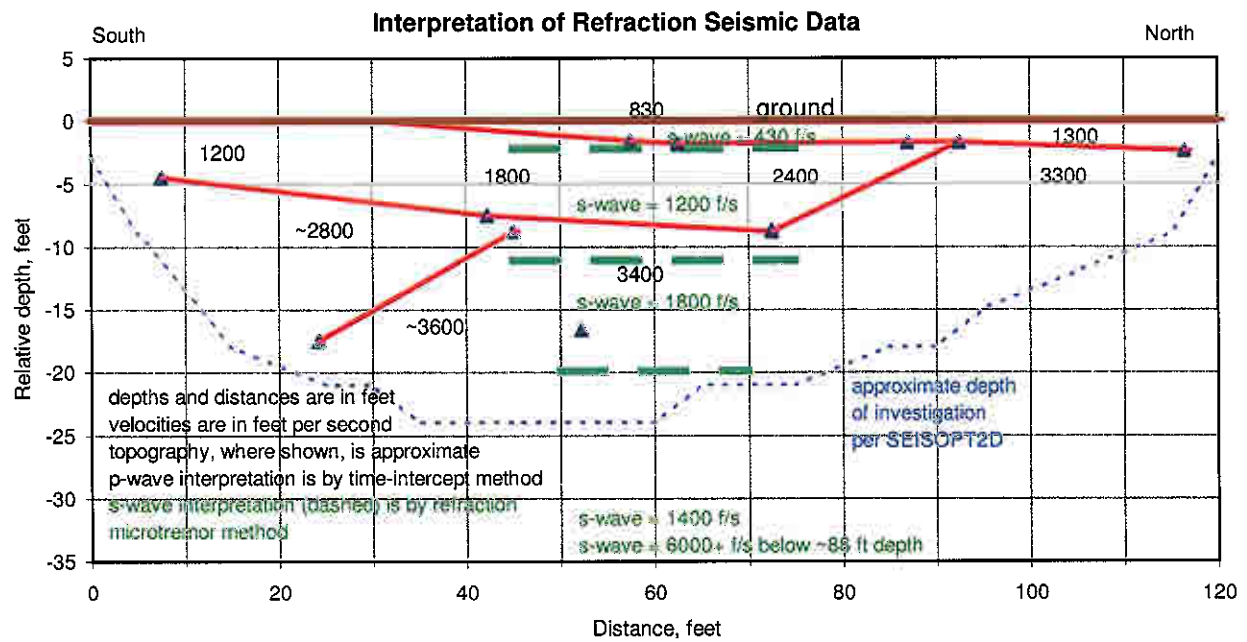
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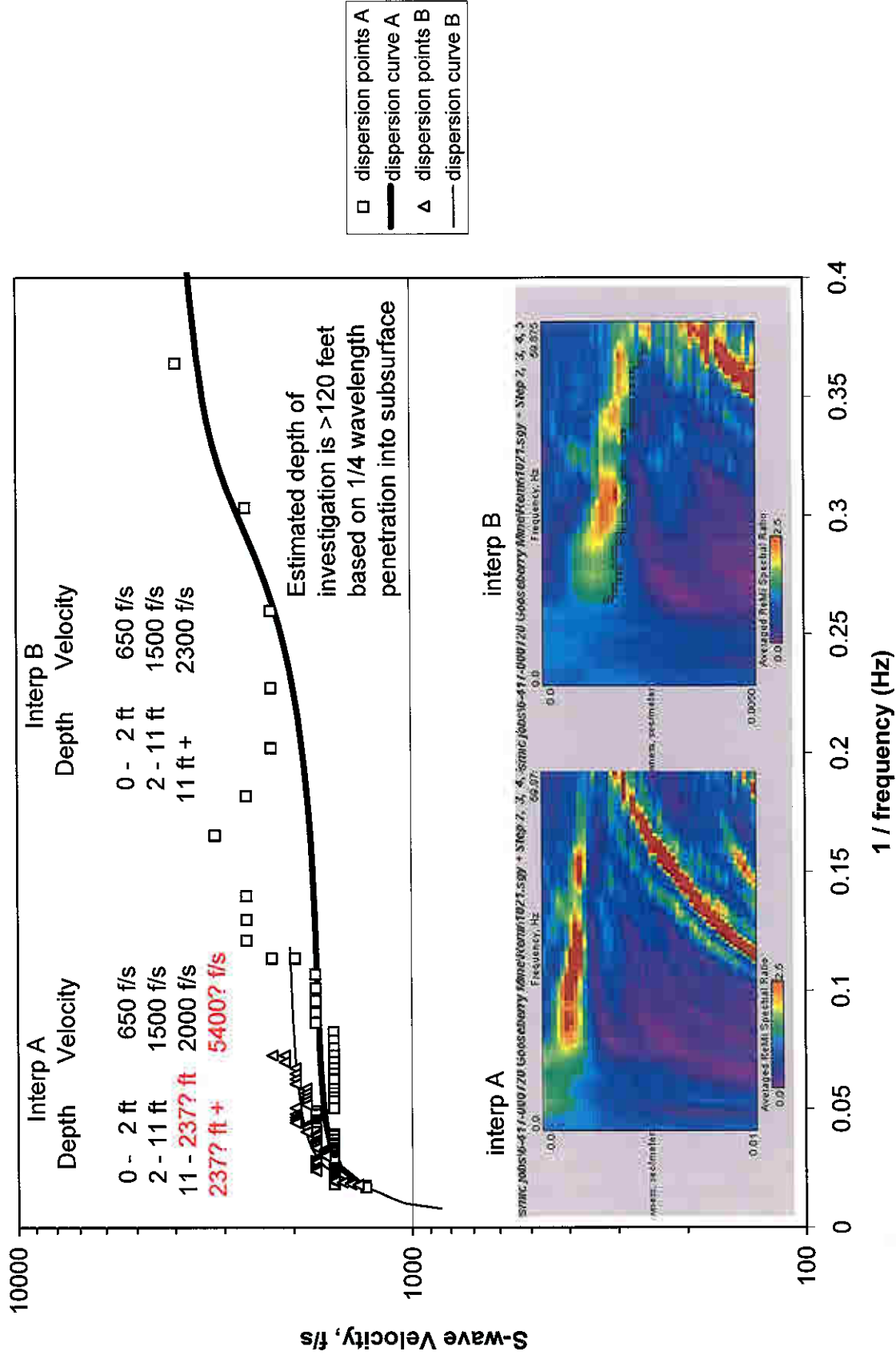
Refraction Seismic Interpretation

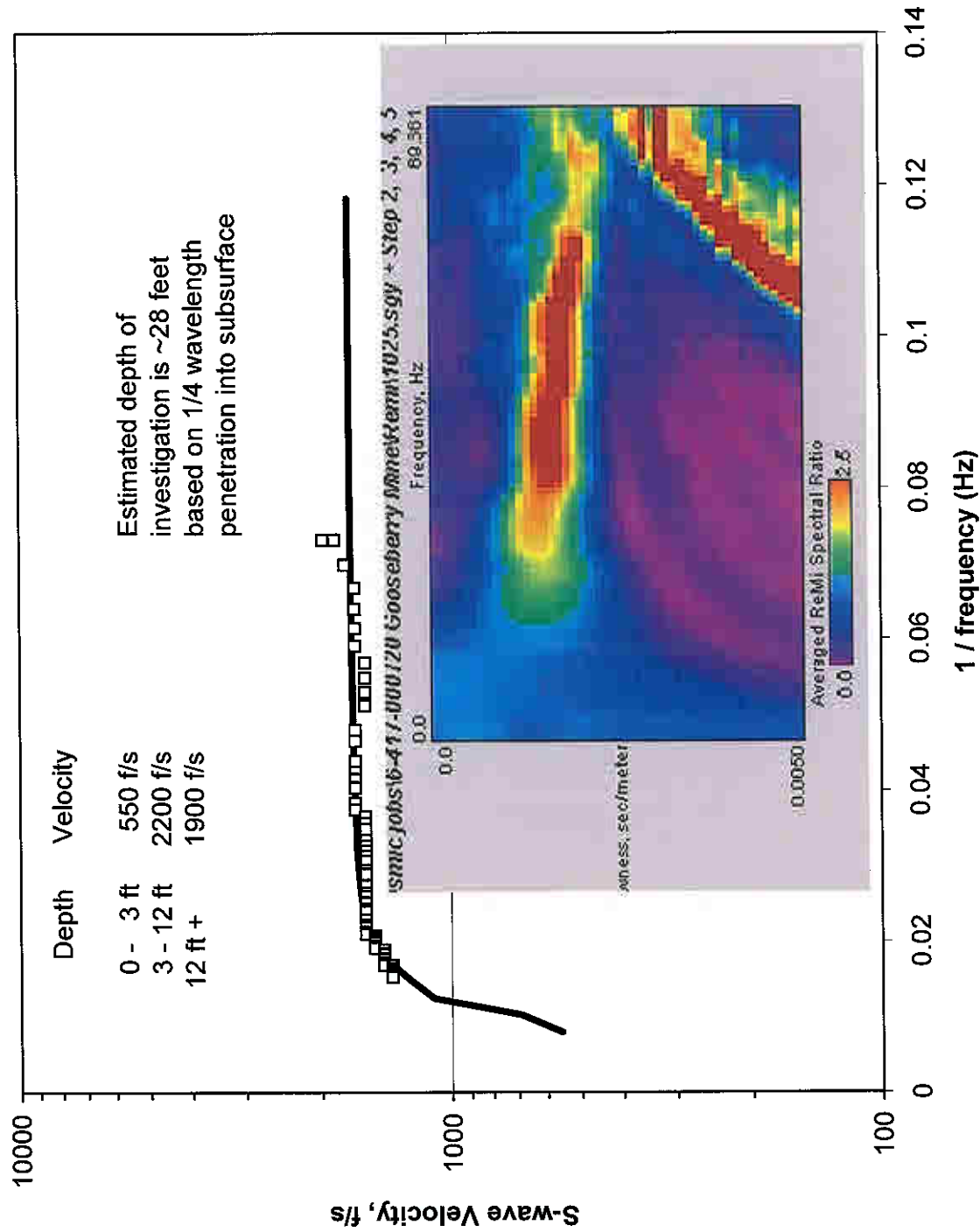
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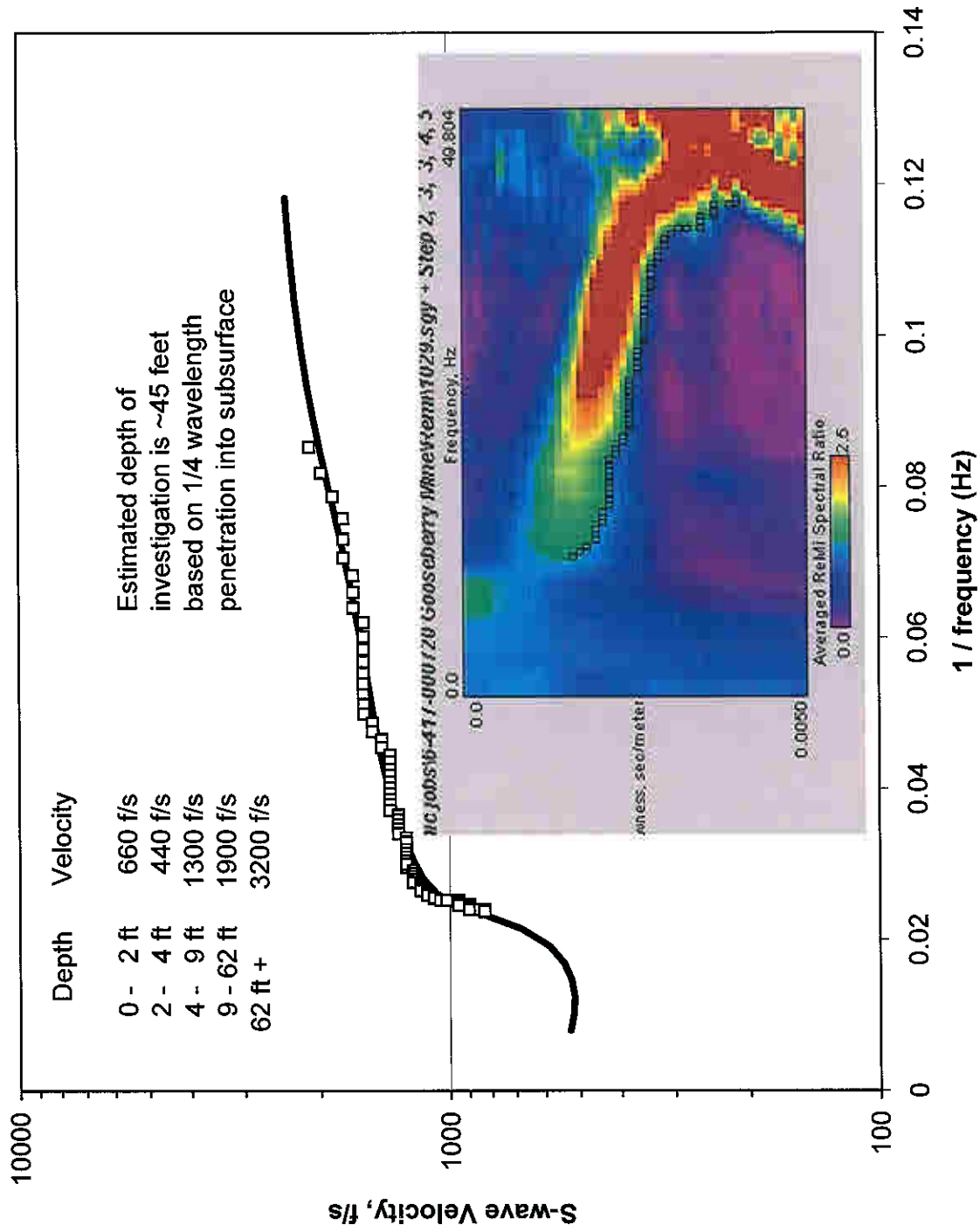
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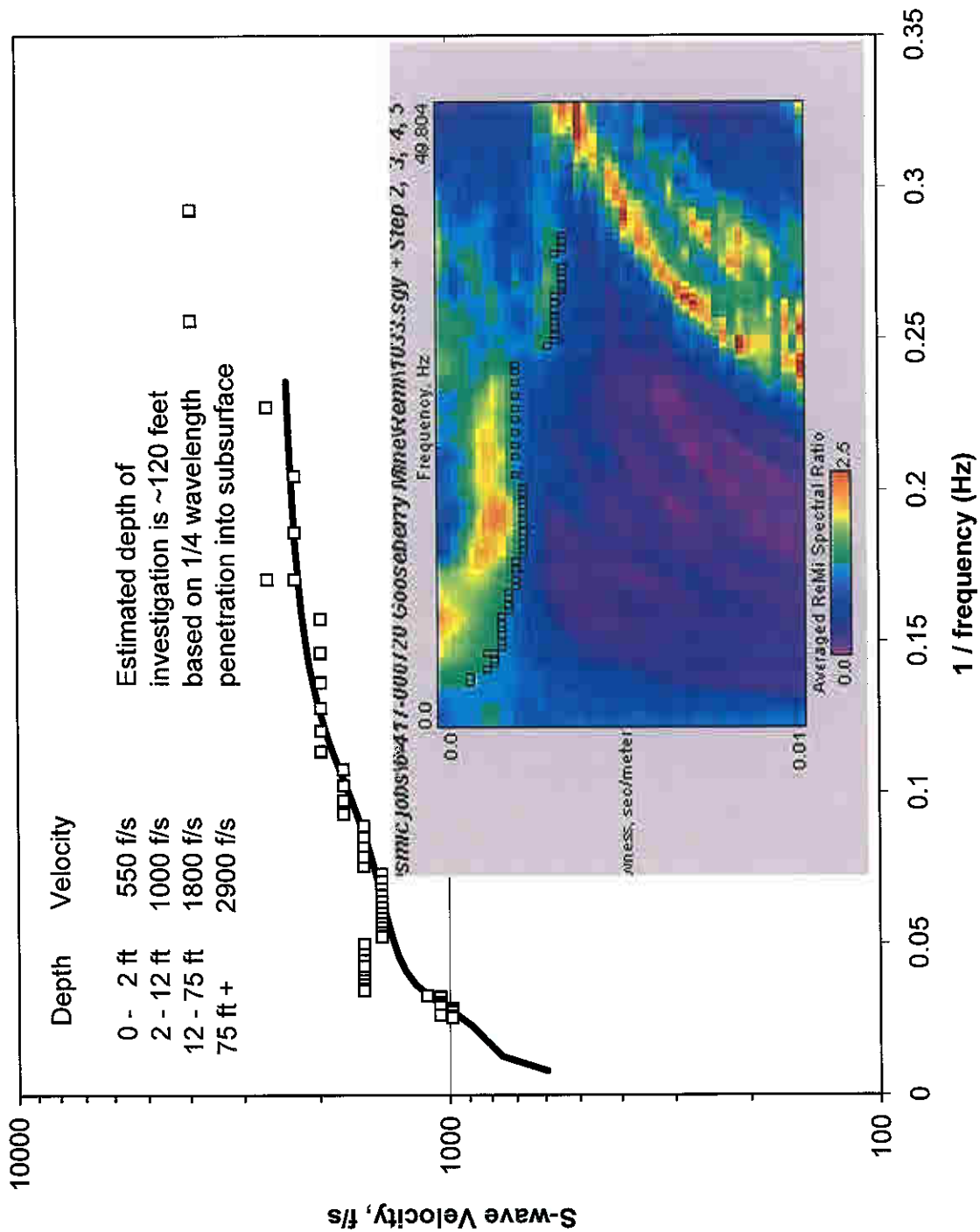


APPENDIX C-5
Refraction Microtremor (ReMi) Results
For the Main Mine Shaft (Lines 6 through 10)

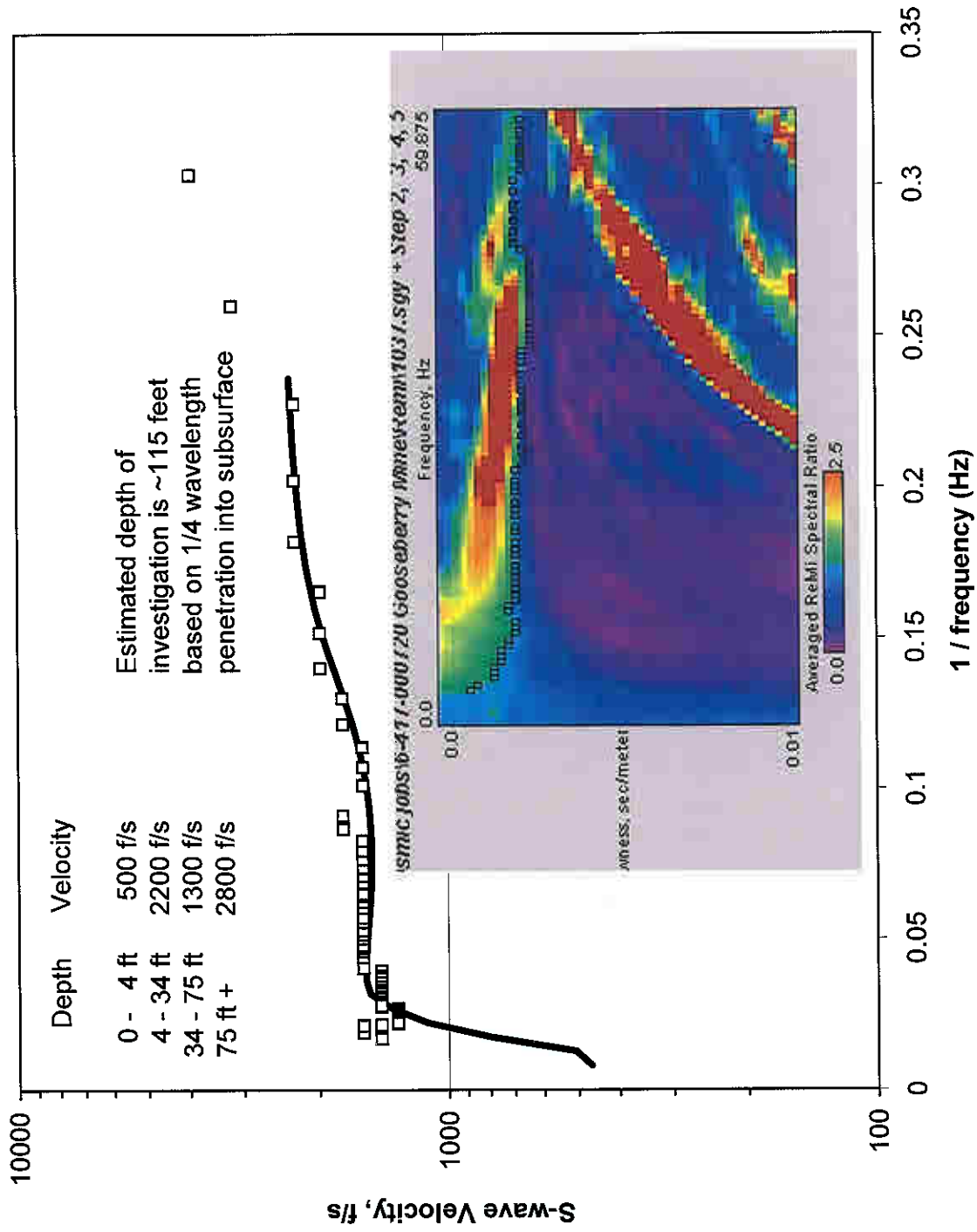








□ dispersion points
— dispersion curve



APPENDIX C-6
Refraction Microtremor (ReMi) Results
For the Secondary Decline Shaft
(Lines 1 through 5)

